

## CASE REPORT

# Differences and Similarities between Cohorts of HIV-Infected Long-Term Non-Progressors, Elite Controllers and Chronic Progressors, Followed Prospectively in South Africa

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**Citation:** Waja Z, Ot wombe K, Variava E, Msandiwa R, Chaisson RE, et al. (2022) Differences and Similarities between Cohorts of HIV-Infected Long-Term Non-Progressors, Elite Controllers and Chronic Progressors, Followed Prospectively in South Africa. J AIDS Immune Syst 2: 101

## Abstract

**Background:** We compared sociodemographic, behavioral and clinical characteristics of HIV-infected long-term non-progressors (LTNPs), elite controllers (ECs) and progressors (CPs) to describe differences that may contribute to rates of disease progression. These unique groups of controllers (LTNPs and ECs) provide insights into factors that may influence differential control of HIV infection in the absence of antiretroviral therapy.

**Methods:** An observational study collecting CD4 and viral load data was conducted prospectively between 2002 and 2016. Three groups were purposively selected: LTNP's with sustained CD4 cell counts >500 cells/dl for at least seven years, EC's with suppressed viral loads <400 copies/ml at least six months apart, and progressors with a progressive CD4 count decline from CD4>500. Fishers-exact and Kruskal-Wallis tests compared categorical and continuous data between groups.

**Results:** We identified 24 LTNPs, 15 ECs and 109 CPs. Of these, 87.8% were females and median age was 36.3 years. LTNPs had significantly higher median baseline CD4 counts (897 vs 607; p<0.0001) and BMI (31.9 vs 25.5; p=0.0014) than CPs. At the last visit, the median CD4 count of LTNPs (561 vs 205; p<0.0001) and ECs (639 vs 205; p<0.0001) was higher than CPs. CPs were more likely to have reported alcohol use than ECs (67.9% vs 40%, p=0.045).

**Conclusions:** Our data shows that higher BMI may be a predictor of slower CD4 decline in HIV-infected individuals. Alcohol consumption may hasten disease progression. Understanding these mechanisms requires further research. Universal Test and Treat (UTT) antiretroviral therapy (ART) guidelines make studies on LTNPs and ECs more difficult.

**Keywords:** Long-Term Non-Progressors; Elite Controllers; Chronic Progressors; Body Mass Index

**Abbreviations:** LTNP: long term non-progressor; EC: Elite controller; CP: Chronic progressor; BMI: Body mass index; UTT: Universal Test and Treat; ART: Antiretroviral therapy

## Introduction

HIV prevalence rates in sub-Saharan Africa are amongst the highest in the world. In 2016, an estimated 53% of HIV-infected individuals resided in sub-Saharan Africa [1]. Heterogeneity in phenotypic responses to HIV infection suggest different rates of progression to AIDS [2-5]. Although the vast majority of HIV-infected individuals are categorized as chronic progressors (CPs) and, in absence of anti-retroviral therapy (ART), will progress to AIDS approximately a decade after seroconversion [6], two controller phenotypic manifestations of HIV infection and progression are described in people in the absence of ART. Long-term non-progressors (LTNP's) are able to maintain their CD4 count above 500 cells/mm<sup>3</sup> in the absence of ART over a period of at

least ten years [7]. Reports from Europe and USA suggest about one tenth of all HIV-infected individuals are LTNP's [8,9] but a far smaller proportion of 2.6% was reported in a cohort study from South Africa [10]. Elite controllers (EC's), comprise less than 1% of HIV-infected individuals and are able to maintain undetectable HIV viral loads for at least six months in the absence of ART [11]. There is a paucity of data from sub-Saharan Africa on the two controller phenotypes, where HIV is most prevalent; EC's have been studied in-depth in North American and European cohorts and provide a model for functional cure of HIV infection [12,13]. Studies from African countries describing controller phenotypes have small sample sizes and various definitions [14-18]. Furthermore, in Africa, there is limited information on elite controllers due to previously limited availability of assays to detect viral loads < 50 [7]. Elite controllers have rarely been described in South Africa, usually single case reports describing sustained viral suppression [19,20].

Universal test and treat (UTT) strategies since September 2016 [21,22] make it increasingly less likely that the controller LTNP and EC phenotypes will be identified and described. However, they may contribute to understanding immune protection. We report a cohort of LTNP's and EC's and compare them to a group of chronic progressors (CPs) to describe clinical, behavioral and sociodemographic differences between the phenotypes.

## Methods

We identified adults ( $\geq 18$  years) who fulfilled study case definitions of these phenotypes firstly from several cohorts of HIV-infected adults followed up prospectively in Soweto between 2002 and 2014 in three studies [10,23,24] and secondly from patients identified in routine testing in Soweto, Gauteng Province and Matlosana, North West Province who also had a viral load taken at time of first HIV diagnosis. Eligibility criteria for all three phenotypes were: HIV-infected, antiretroviral naïve adults (age  $\geq 18$  years) with at least annual CD4 count measurements; and first ever recorded CD4 count record of  $>500$  cells/mm<sup>3</sup>. For the purposes of this study, LTNPs were defined by CD4 counts  $>500$  cells/mm<sup>3</sup> for at least 7 years, without a definite downward trend in CD4 count but allowing one CD4 count of  $>450$  cells/mm<sup>3</sup> during follow up with all subsequent CD4 counts  $>500$  cells/mm<sup>3</sup>. ECs were required to maintain HIV viral load  $<400$  copies/ml for at least 12 months or, if follow up was longer than six months, over the entire follow up period, allowing one VL  $>400$  copies/ml, provided it was followed by at least another subsequent VL  $<400$  copies/ml. Our limit of 400 copies/ml is higher than the current definition of 50 copies/ml but in line with previously used definitions before HIV VL tests became more sensitive [9]. The HIV-1 Amplicor viral load test was used during follow up with a viral load cut-off of 200 copies per ml. The lower limit of HIV viral load detection in South Africa at the time when the study started was 400 using an older test. Over time viral load testing improved and detection limits became more sensitive. CPs were defined by their first treatment naïve CD4 count at study entry of  $>500$  cells/mm<sup>3</sup> and to have a clear decline in serial CD4 cell counts over at least 2 years to  $<350$  cells/mm<sup>3</sup>. These participants were purposely selected from a larger cohort as a comparative group.

Data of those with the CP phenotype identified and followed up in prior studies were included in this analysis but were not re-contacted. We recontacted those with apparent HIV controller phenotypes (EC and LTNP). If this was successful, they were requested to consent to a new prospective cohort to confirm their controller status. To identify additional adults with the rare EC phenotype, study staff reviewed newly diagnosed HIV-infected adults who had their plasma VL ascertained prior to initiation of ART. Those with initial VL  $<1000$  copies/ml were approached, and once they confirmed their antiretroviral naïve status, were requested to consent to prospective follow up. All followed up participants had a similar set of interviews conducted as those who were followed up in prior cohorts.

All prior sociodemographic, behavioral, anthropomorphic, viral load and CD4 data from prior and current cohorts was included in this study as well as that collected in real time follow up. Ethical approval for all studies used in this analysis was granted by the Wits Human Research Ethics Committee. Written informed consent was obtained from each participant prior to initiating any screening procedures.

## Statistical Analysis

Frequencies were determined for categorical variables and means (standard deviations) and medians (interquartile ranges (IQR)) calculated for continuous measures. We conducted an overall comparison between LTNP's and EC's against CP's (Table 1). Additionally, a sensitivity analysis was conducted for only women that compared LTNP's and EC's against CP's, since they formed a majority (Supplementary Tables 2a and 2b). Categorical and continuous measures were compared by the Fishers exact and Kruskal-Wallis non-parametric tests respectively.

| Variables                 | Long-term non-progressors | Elite controls (n=15) | Chronic progressors (n=109) | P-Value (LTNP vs CP) | P-Value (EC vs CP) |
|---------------------------|---------------------------|-----------------------|-----------------------------|----------------------|--------------------|
| Female Gender             | 22 (91.7)                 | 12 (80.0)             | 96 (88.1)                   | 0.99                 | 0.410              |
| Median age in years (IQR) | 36.4 (34.0-39.7)          | 36.2 (33.2-41.0)      | 36.2 (32.4-41.1)            | 0.9068               | 0.8511             |
| Educational level         |                           |                       |                             |                      |                    |
| None                      | 0 (0.0)                   | 0 (0.0)               | 2 (1.8)                     |                      |                    |
| Grade 0-5                 | 1 (4.2)                   | 1 (6.7)               | 6 (5.5)                     |                      |                    |
| Grade 6-11                | 11 (45.8)                 | 9 (60.0)              | 75 (68.8)                   |                      |                    |
| Grade 12                  | 4 (16.7)                  | 3 (20.0)              | 25 (22.9)                   |                      |                    |

| Variables                               | Long-term non-progressors | Elite controls (n=15) | Chronic progressors (n=109) | P-Value (LTNP vs CP) | P-Value (EC vs CP) |
|---|---------------------------|-----------------------|-----------------------------|----------------------|--------------------|
| Degree/Diploma                          | 8 (33.3)                  | 2 (13.3)              | 1 (0.9)                     |                      |                    |
| <b>Unemployment</b>                     | 11 (45.8)                 | 10 (66.7)             | 69 (63.3)                   | 0.166                | 0.99               |
| <b>Income per month#</b>                |                           |                       |                             |                      |                    |
| 0-1000 Rands                            | 13 (54.2)                 | 9 (60.0)              | 66 (60.6)                   | -                    | -                  |
| 1001-2000 Rands                         | 3 (12.5)                  | 4 (26.7)              | 29 (26.6)                   |                      |                    |
| >2000 Rands                             | 8 (33.3)                  | 2 (13.3)              | 14 (12.8)                   |                      |                    |
| <b>Ever smoked cigarettes</b>           | 7 (29.2)                  | 3 (20.0)              | 22 (20.2)                   |                      | 0.99               |
| Median cigarettes smoked (IQR)          | 3.0 (2.0-3.0)             | 2.0 (2.0-11.0)        | 5.5 (4.0-10.0)              | 0.1070               | 0.4726             |
| <b>Ever consumed alcohol</b>            | 11 (45.8)                 | 6 (40.0)              | 74 (67.9)                   | 0.059                | 0.045              |
| <b>Condom use with regular partner</b>  | 14 (58.3)                 | 12 (80.0)             | 50 (60.2)                   | 0.99                 | 0.244              |
| <b>Condom use with casual partner</b>   | 4 (16.7)                  | 1 (8.3)               | 6 (7.2)                     | 0.227                | 0.455              |
| <b>BMI</b>                              |                           |                       |                             |                      |                    |
| Underweight (<18.5)                     | 0 (0.0)                   | 0 (0.0)               | 1 (0.9)                     |                      |                    |
| Normal (18.5-24.9)                      | 4 (16.7)                  | 7 (46.7)              | 46 (42.6)                   | -                    | -                  |
| Overweight (24.9-29.9)                  | 5 (20.8)                  | 5 (33.3)              | 28 (25.9)                   |                      |                    |
| Obese (>30)                             | 15 (62.5)                 | 3 (20.0)              | 33 (30.6)                   |                      |                    |
| Mean (SD)                               | 32.5 (7.2)                | 26.1 (5.6)            | 27.4 (6.5)                  | 0.0010               | 0.4671             |
| Median (IQR)                            | 31.9 (28.0-35.1)          | 26.3 (21.5-29.7)      | 25.5 (22.3-32.3)            | 0.0014               | 0.5364             |
| <b>STI in the past 6 months</b>         | 3 (12.5)                  | 0 (0.0)               | 19 (17.4)                   | 0.764                | 0.210              |
| <b>Lymphadenopathy</b>                  | 3 (12.5)                  | 1 (6.7)               | 4 (3.7)                     | 0.111                | 0.481              |
| <b>Ever had TB</b>                      | 2 (8.3)                   | 0 (0.0)               | 6 (5.5)                     | 0.635                | 0.99               |
| <b>CD4 Count (cells/mm<sup>3</sup>)</b> |                           |                       |                             |                      |                    |
| Mean (SD)                               | 867 (198)                 | 807 (236)             | 661 (172)                   | <0.0001              | 0.0050             |
| Median (IQR)                            | 897 (708-971)             | 859 (620-918)         | 607 (547-713)               | <0.0001              | 0.0165             |
| Minimum, Maximum                        | 562 to 1290               | 417 to 1294           | 500 to 1396                 |                      |                    |
| <b>Viral Load (Copies/ml)</b>           |                           |                       |                             |                      |                    |
| < 400                                   | Not Applicable            | 9/11 (81.8)           | 5/77 (6.5)                  | Not Applicable       | <0.0001            |
| Mean (SD)                               | Not Applicable            | 1.8 (0.6)             | 4.0 (0.7)                   | Not Applicable       | <0.0001            |
| Median (IQR)                            | Not Applicable            | 1.6 (1.3-2.2)         | 4.1 (3.8-4.4)               | Not Applicable       | <0.0001            |
| Minimum, Maximum                        | Not Applicable            | 1.3 to 2.9            | 1.7 to 5.2                  |                      |                    |

\*Column totals for some variables may not add up to the number enrolled due to missing values

# Rand/dollar exchange rate at the time of study (2002) 1 Dollar=10.52 Rands

**Table 1:** Participant socio-demographic and behavioral characteristics

| Variables                | Long-term non-progressors (n=22) | Elite controls (n=12) | Chronic progressors (n=96) | P-Value (LTNP vs CP) | P-Value (EC vs CP) |
|--------------------------|----------------------------------|-----------------------|----------------------------|----------------------|--------------------|
| <b>Gender</b>            |                                  |                       |                            |                      |                    |
| Female                   | 22 (100)                         | 12 (100)              | 96 (100)                   | -                    | -                  |
| <b>Age-group</b>         |                                  |                       |                            |                      |                    |
| 18-35 years              | 10 (45.5)                        | 6 (50.0)              | 41 (42.7)                  | 0.816                | 0.760              |
| >35 years                | 12 (54.5)                        | 6 (50.0)              | 55 (57.3)                  |                      |                    |
| Mean (SD)                | 36.7 (5.9)                       | 34.9 (7.7)            | 36.7 (6.6)                 | 0.9772               | 0.3728             |
| Median (IQR)             | 35.8 (33.7-39.2)                 | 35.3 (30.9-40.0)      | 36.0 (32.2-40.6)           | 0.8629               | 0.6671             |
| Minimum, Maximum         | 27.2 to 51.1                     | 19.1 to 47.0          | 24.7 to 59.6               |                      |                    |
| <b>Educational level</b> |                                  |                       |                            |                      |                    |
| None                     | 0 (0.0)                          | 0 (0.0)               | 2 (2.1)                    | -                    | -                  |
| Grade 0-5                | 1 (4.5)                          | 1 (8.3)               | 5 (5.2)                    |                      |                    |
| Grade 6-11               | 10 (45.5)                        | 6 (50.0)              | 67 (69.8)                  |                      |                    |
| Grade 12                 | 4 (18.2)                         | 3 (25.0)              | 21 (21.9)                  |                      |                    |

| Variables                             | Long-term non-progressors (n=22) | Elite controls (n=12) | Chronic progressors (n=96) | P-Value (LTNP vs CP) | P-Value (EC vs CP) |
|---------------------------------------|----------------------------------|-----------------------|----------------------------|----------------------|--------------------|
| Degree/Diploma                        | 7 (31.8)                         | 2 (16.7)              | 1 (1.0)                    |                      |                    |
| <b>Employment</b>                     |                                  |                       |                            |                      |                    |
| No                                    | 11 (50.0)                        | 9 (75.0)              | 64 (66.7)                  | 0.151                | 0.748              |
| Yes                                   | 11 (50.0)                        | 3 (25.0)              | 32 (33.3)                  |                      |                    |
| <b>Own a mobile phone</b>             |                                  |                       |                            |                      |                    |
| No                                    | 1 (4.5)                          | 0 (0.0)               | 6 (6.3)                    | 0.99                 | 0.99               |
| Yes                                   | 21 (95.5)                        | 9 (100)               | 90 (93.8)                  |                      |                    |
| <b>Marital status</b>                 |                                  |                       |                            |                      |                    |
| Married                               | 4 (18.2)                         | 2 (22.2)              | 13 (13.5)                  | -                    | -                  |
| Other                                 | 1 (4.5)                          | 1 (11.1)              | 20 (20.8)                  |                      |                    |
| Single                                | 17 (77.3)                        | 6 (66.7)              | 63 (65.6)                  |                      |                    |
| <b>Income per month</b>               |                                  |                       |                            |                      |                    |
| 0-1000 Rands                          | 12 (54.5)                        | 7 (58.3)              | 62 (64.6)                  | -                    | -                  |
| 1001-2000 Rands                       | 3 (13.6)                         | 4 (33.3)              | 24 (25.0)                  |                      |                    |
| >2000 Rands                           | 7 (31.8)                         | 1 (8.3)               | 10 (10.4)                  |                      |                    |
| <b>Ever smoked</b>                    |                                  |                       |                            |                      |                    |
| No                                    | 16 (72.7)                        | 11 (91.7)             | 86 (89.6)                  | 0.076                | 0.99               |
| Yes                                   | 6 (27.3)                         | 1 (8.3)               | 10 (10.4)                  |                      |                    |
| Mean cigarettes smoked (SD)           | 2.6 (0.5)                        | 2.0 ()                | 7.8 (8.4)                  | 0.1967               | 0.5257             |
| Median cigarettes smoked (IQR)        | 3.0 (2.0-3.0)                    | 2.0 (2.0-2.0)         | 5.5 (4.0-10.0)             | 0.0640               | 0.3384             |
| Minimum, Maximum                      | 2.0 to 3.0                       | 2.0 to 2.0            | 1.0 to 30.0                |                      |                    |
| <b>Ever taken alcohol</b>             |                                  |                       |                            |                      |                    |
| No                                    | 13 (59.1)                        | 8 (66.7)              | 34 (35.4)                  | 0.054                | 0.057              |
| Yes                                   | 9 (40.9)                         | 4 (33.3)              | 62 (64.6)                  |                      |                    |
| <b>Ever smoked dagga</b>              |                                  |                       |                            |                      |                    |
| No                                    | 20 (90.9)                        | 9 (100)               | 95 (99.0)                  | 0.089                | 0.99               |
| Yes                                   | 2 (9.1)                          | 0 (0.0)               | 1 (1.0)                    |                      |                    |
| <b>Age of sexual debut</b>            |                                  |                       |                            |                      |                    |
| < 18 years                            | 11 (50.0)                        | 4 (44.4)              | 54 (56.3)                  | 0.640                | 0.510              |
| ≥ 18 years                            | 11 (50.0)                        | 5 (55.6)              | 42 (43.8)                  |                      |                    |
| Mean (SD)                             | 17.7 (1.8)                       | 18.8 (3.2)            | 17.6 (2.7)                 | 0.9524               | 0.2382             |
| Median (IQR)                          | 17.5 (16.0-19.0)                 | 19.0 (16.0-20.0)      | 17.0 (16.0-19.0)           | 0.5806               | 0.4807             |
| Minimum, Maximum                      | 15.0 to 22.0                     | 15.0 to 25.0          | 13.0 to 27.0               |                      |                    |
| <b>Total lifetime sexual partners</b> |                                  |                       |                            |                      |                    |
| 2-5                                   | 13 (59.1)                        | 7 (77.8)              | 57 (59.4)                  | -                    | -                  |
| 6-1                                   | 7 (31.8)                         | 2 (22.2)              | 32 (33.3)                  |                      |                    |
| >10                                   | 2 (9.1)                          | 0 (0.0)               | 7 (7.3)                    |                      |                    |
| Mean (SD)                             | 6.3 (5.0)                        | 3.8 (1.6)             | 5.8 (3.5)                  | 0.6150               | 0.0924             |
| Median (IQR)                          | 4.5 (3.0-8.0)                    | 3.0 (3.0-5.0)         | 4.0 (4.0-8.0)              |                      |                    |
| Minimum, Maximum                      | 2.0 to 20.0                      | 2.0 to 6.0            | 2.0 to 20.0                |                      |                    |
| <b>Condom use regular partner</b>     |                                  |                       |                            |                      |                    |
| Always                                | 13 (59.1)                        | 9 (75.0)              | 41 (56.2)                  | -                    | -                  |
| Never                                 | 0 (0.0)                          | 0 (0.0)               | 3 (4.1)                    |                      |                    |
| No regular partner                    | 5 (22.7)                         | 2 (16.7)              | 5 (6.8)                    |                      |                    |
| Occasionally                          | 4 (18.2)                         | 1 (8.3)               | 24 (32.9)                  |                      |                    |
| <b>Condom use casual partner</b>      |                                  |                       |                            |                      |                    |
| Always                                | 2 (9.1)                          | 0 (0.0)               | 4 (5.5)                    | -                    | -                  |
| Never                                 | 0 (0.0)                          | 0 (0.0)               | 1 (1.4)                    |                      |                    |
| No regular partner                    | 20 (90.9)                        | 9 (100)               | 68 (93.2)                  |                      |                    |

Table 2a: Participant socio-demographic and behavioral characteristics (excluding males)

| Variables                       | Long-term non-progressors (n=22) | Elite controls (n=12) | Chronic progressors (n=96) | P-Value (LTNP vs CP) | P-Value (EC vs CP) |
|---------------------------------|----------------------------------|-----------------------|----------------------------|----------------------|--------------------|
| <b>BMI</b>                      |                                  |                       |                            |                      |                    |
| Normal                          | 4 (18.2)                         | 5 (41.7)              | 34 (35.8)                  | -                    | -                  |
| Obese                           | 14 (63.6)                        | 3 (25.0)              | 33 (34.7)                  |                      |                    |
| Overweight                      | 4 (18.2)                         | 4 (33.3)              | 27 (28.4)                  |                      |                    |
| Underweight                     | 0 (0.0)                          | 0 (0.0)               | 1 (1.1)                    |                      |                    |
| Mean (SD)                       | 32.6 (7.4)                       | 27.0 (5.9)            | 28.2 (6.5)                 | 0.0070               | 0.5374             |
| Median (IQR)                    | 31.9 (28.3-35.0)                 | 27.3 (21.8-31.4)      | 26.5 (23.0-33.4)           | 0.0126               | 0.0705             |
| Minimum, Maximum                | 22.7 to 53.2                     | 20.1 to 37.7          | 16.6 to 44.3               |                      |                    |
| <b>Height</b>                   |                                  |                       |                            |                      |                    |
| Mean (SD)                       | 160 (4.5)                        | 157 (4.1)             | 159 (5.0)                  | 0.3044               | 0.3656             |
| Median (IQR)                    | 160 (157-163)                    | 159 (155-160)         | 159 (155-162)              |                      |                    |
| Minimum, Maximum                | 150 to 168                       | 150 to 163            | 148 to 172                 |                      |                    |
| <b>Weight</b>                   |                                  |                       |                            |                      |                    |
| Mean (SD)                       | 84.0 (22)                        | 65.8 (15)             | 71.2 (16)                  | 0.0022               | 0.3339             |
| Median (IQR)                    | 81.4 (71.1-91.1)                 | 68.3 (52.6-77.1)      | 68.5 (57.5-84.0)           |                      |                    |
| Minimum, Maximum                | 55.7 to 150                      | 48.2 to 88.2          | 40.5 to 120                |                      |                    |
| <b>STI in the past 6 months</b> |                                  |                       |                            |                      |                    |
| No                              | 19 (86.4)                        | 9 (100)               | 77 (80.2)                  | 0.762                | 0.359              |
| Yes                             | 3 (13.6)                         | 0 (0.0)               | 19 (19.8)                  |                      |                    |
| <b>Lymphadenopathy</b>          |                                  |                       |                            |                      |                    |
| No                              | 19 (86.4)                        | 11 (91.7)             | 92 (95.8)                  | 0.119                | 0.452              |
| Yes                             | 3 (13.6)                         | 1 (8.3)               | 4 (4.2)                    |                      |                    |
| <b>Ever had TB</b>              |                                  |                       |                            |                      |                    |
| No                              | 20 (90.9)                        | 12 (100)              | 91 (94.8)                  | 0.613                | 0.99               |
| Yes                             | 2 (9.1)                          | 0 (0.0)               | 5 (5.2)                    |                      |                    |
| <b>CD4 Count</b>                |                                  |                       |                            |                      |                    |
| Mean (SD)                       | 866 (186)                        | 782 (246)             | 663 (176)                  | <.0001               | 0.0377             |
| Median (IQR)                    | 897 (736-969)                    | 816 (585-918)         | 605 (539-718)              | <0.0001              | <0.0001            |
| Minimum, Maximum                | 562 to 1290                      | 417 to 1294           | 500 to 1396                |                      |                    |
| <b>Viral Load (Copies/ml)</b>   |                                  |                       |                            |                      |                    |
| < 400                           | N/A                              | 6/8 (75)              | 5/65 (7.7)                 | N/A                  | <0.0001            |
| Mean (SD)                       | N/A                              | 1.8 (0.7)             | 3.9 (0.7)                  | N/A                  | <0.0001            |
| Median (IQR)                    | N/A                              | 1.5 (1.3-2.5)         | 4.1 (3.8-4.3)              | N/A                  | <0.0001            |
| Minimum, Maximum                | N/A                              | 1.3 to 2.9            | 1.7 to 5.2                 |                      |                    |

For viral load, n=8 for EC and 65 for CP;

**Table 2b:** Behavioural and clinical characteristics (excluding males)

Time-dependent CD4 count and  $\log_{10}$  viral load for each group were plotted using the loess curve. The CD4 decline rate as well as the  $\log_{10}$  viral load increase were determined using fixed effects modeling where month of visit formed the covariate. Among LTNPs, we stratified their last viral load into the groups 50-399, 400-2 000, 2 001-10 000 and > 10 000 copies/ml and determined their distribution. All statistical analysis was conducted using SAS Enterprise Guide 7.1 (SAS Institute, Cary, NC) using the SAS/STAT procedures SAS PROC FREQ and SAS PROC MIXED.

## Results

In this study, of 24 LTNP's identified and followed up for a median of 8.3 years (IQR: 7.1-9.3) - nine had total follow up of seven years, three of eight years, and 12 of  $\geq$  nine years; of 15 EC's followed for a median of 2.5 years (IQR: 0.54-4.18), six were followed up for between six and twelve months, two for two years, three for three years, two for four years, one for five years and one for 8.5 years; of the 109 CP's followed up for a median of 6.9 years (IQR: 5.0-7.5), 14 were followed up for two to four years, 20 for five years, 49 for six to seven years and 26 for  $\geq$  eight years.

The majority (at least 80% in each group) of all participants were women. Median ages (IQR) were 36.4 years (IQR: 34-39.7), 36.2 years (IQR: 33.2-41.0) and 36.2 years (32.4-41.1) for LTNP, ECs and CPs respectively (table 1). Alcohol use self-reported by ECs (40%) was significantly lower than in that self-reported by CPs (67.9%  $p=0.045$ ). LTNPs also had a trend to lower alcohol use than CPs. Condom use with a regular partner among LTNPs (58.3% vs 60.2%,  $p=0.99$ ) and ECs (80.0% vs 60.2%,  $p=0.244$ ) relative to CPs was similar but higher among ECs.

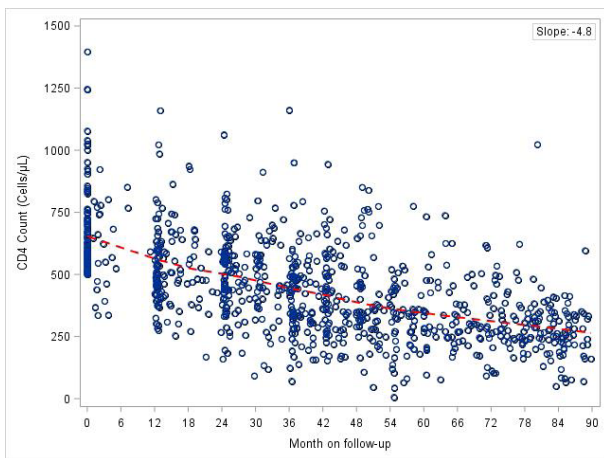


Figure 1a: CD4 count during follow-up for CPs

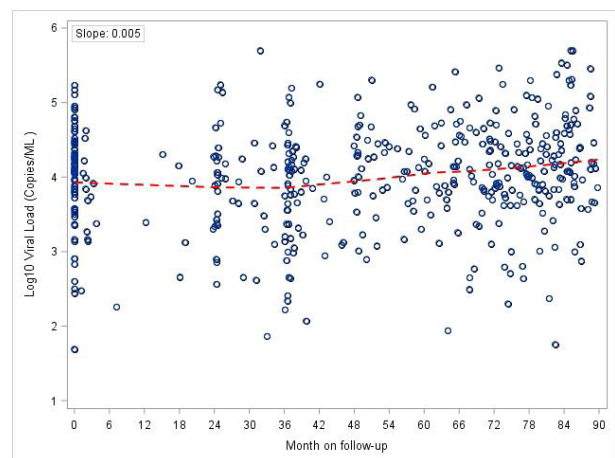


Figure 1b: Log<sub>10</sub> viral load during follow-up for CPs

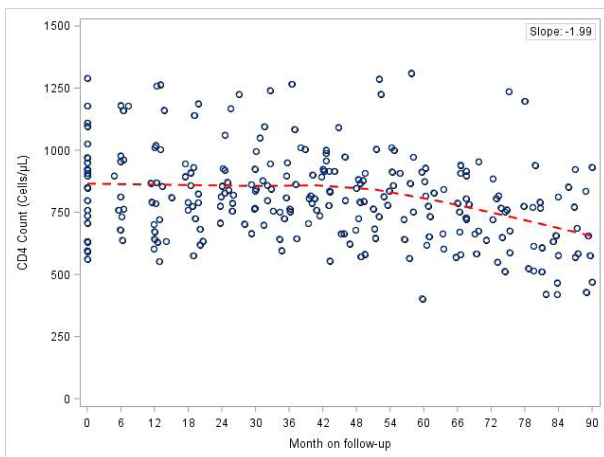


Figure 1c: CD4 count during follow-up for LTNPs

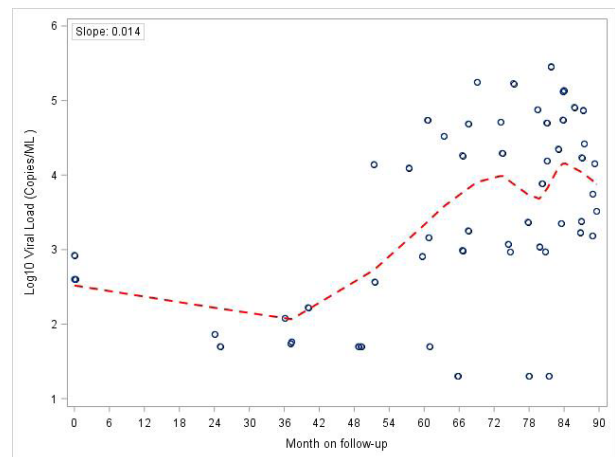


Figure 1d: Log<sub>10</sub> viral load during follow-up for LTNPs

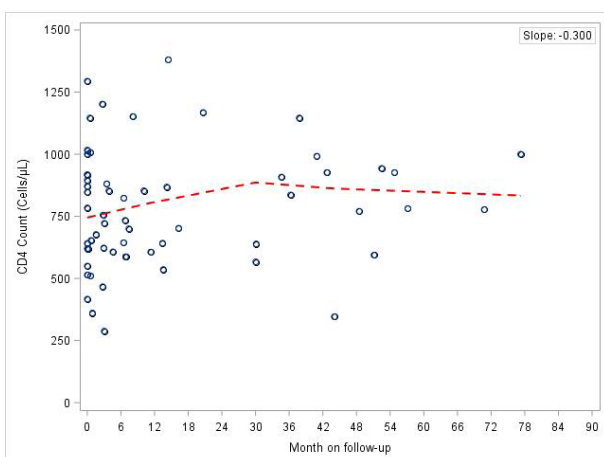


Figure 1e: CD4 count during follow-up for ECs

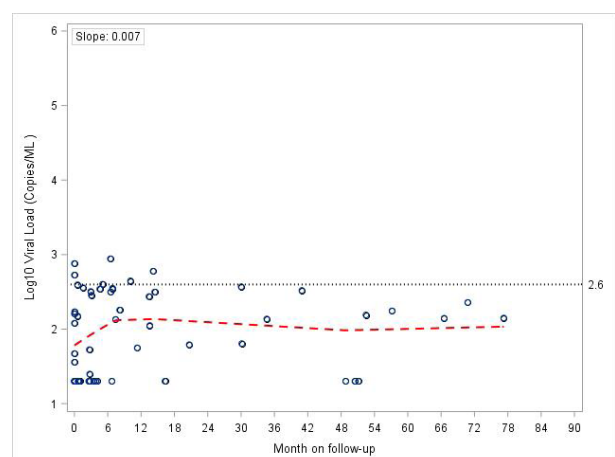


Figure 1f: Log<sub>10</sub> viral load during follow-up for ECs

Figure 1: Graphs show the CD4 count and log<sub>10</sub> viral load trajectories and their slopes during follow-up for CPs, LTNPs and ECs

At their baseline visit, LTNPs were more likely to be overweight or obese relative to CPs (83.3% vs. 56.5%,  $p=0.019$ ) and median BMI of LTNPs was significantly larger than that of CPs (31.9 vs 25.5,  $p=0.0014$ ). However, the proportion of overweight and obese ECs was similar to CPs (53.3% vs. 56.5%,  $p=0.789$ ) and median BMI were almost the same.

LTNPs had significantly higher median CD4 counts on study entry (897: IQR 708-971 vs. 607: IQR 547-713;  $p < 0.001$ ) than CPs. Slopes of CD4 decline in LTNPs, ECs and CPs were  $-1.99$  (95% CI:  $-2.6, -1.3$ ) cells/mm<sup>3</sup> per month,  $+0.76$  (95% CI:  $-2.6, 4.1$ ) cells/mm<sup>3</sup> per month and  $-4.8$  (95% CI:  $-5.1, -4.6$ ) cells/mm<sup>3</sup> per month, respectively (Figure 1: Graphs show the CD4 count and log<sub>10</sub> viral load trajectories and their slopes during follow-up for CPs, LTNPs and ECs). However, the LTNP slopes appeared biphasic with initial period of stable CD4 count for approximately four years followed by a decline of  $-5.6$  (95% CI:  $-7.6, -3.7$ ) cells/mm<sup>3</sup>. At the final study visit when a CD4 count was measured, median CD4 counts in LTNPs was 561 (IQR: 450.5-705), in ECs 639 (IQR: 567-908) and in CPs 205 (IQR: 156-266).

The median log<sub>10</sub> viral load of LTNPs and CPs was similar (4.2 IQR: 3.5-4.7 vs 4.4 IQR: 4.1-4.9;  $p = 0.1644$ ) whereas unsurprisingly, ECs had a significantly lower median VL than CPs (2.2 IQR: 2.01-2.5 vs 4.4 IQR: 4.1-4.9;  $p < 0.0001$ ). Slopes of HIV log<sub>10</sub> viral load per month for all groups were close to zero; LTNPs, ECs, CPs were 0.014 (95% CI: 0.006, 0.022), 0.0051 (95% CI:  $-0.0047, 0.016$ ) and 0.005 (95% CI: 0.003, 0.006), respectively (Figure 1). The majority of LTNPs (13/24) were able to maintain CD4 count above 500 cells/mm<sup>3</sup> despite VL of greater than 10,000 copies/ml.

## Discussion

This observational study reports the characteristics of a relatively large cohort of HIV-infected adults, primarily women, with the LTNP and EC controller phenotypes from sub-Saharan Africa whose CD4 counts were all  $> 500$  cells/mm<sup>3</sup> at baseline. Although we were unable to adjust for potential confounders, our data suggests that phenotypic responses to HIV infection may be influenced by alcohol use and that BMI may be an indicator of HIV progression, even when CD4 counts are high. However, we are unable to show a cause effect relationship between these variables with currently available data.

More than three quarters of LTNPs in our study were classified as overweight or obese compared to just above half of the CPs. A study in Miami showed similar slower CD4 decline rates among overweight females than those that were underweight (25). Our findings are similar to US studies in the pre-HAART era [26-28] which reported slower CD4 decline rates in individuals with a higher BMI. Studies hypothesize that the protective mechanism against CD4 cell decline is due to higher fat mass in females resulting in increased levels of leptin, which may enhance CD4 cell proliferation (29,30). Data from South African cohorts suggests that in HIV-infected individuals, higher BMI protects against mortality and incident TB [31,32]. BMI in ECs were similar to CPs likely due to high immune activation in ECs, which might contribute to a catabolic state due to Tryptophan catabolism as it may in progressors [33,34].

Multiple reports suggest that women are diagnosed at higher CD4 counts than men, in the absence of ART, their CD4 declines are slower, and their responses to treatment are better [35,36]. This is likely due to females seeking healthcare earlier than males, the immunoregulatory effects of female hormones and better adherence to ART [37-39]. However as described by Hunt et al the improved CD4 recovery during ART in several prior published studies is unlikely to be explained by better ART adherence alone as many of them conditioned upon stably undetectable plasma HIV RNA levels [40].

Alcohol use was self-reported in a higher proportion of the LTNP group than in the other two groups. This finding is consistent with a Spanish study [41] which reported higher alcohol intake in LTNPs than progressors, the authors suggest reverse causality - to self-reported reduced alcohol intake in the progressor group as their health deteriorated. Prior reports linking alcohol intake and progression of HIV are mixed [42-44]. Heavy alcohol consumption has been reported to accelerate HIV progression in ART-naïve individuals [45]. Potential mechanisms for this effect are postulated to be a direct toxic effect on bone marrow causing lymphopenia, gastrointestinal inflammation and T cell immunosenescence [46].

Limitations include differences in calendar time of recruitment of all CPs and most LTNPs compared to the ECs who primarily were identified at routine HIV testing services several years after the LTNPs and CPs were recruited, resulting in possible bias and also shorter follow up time. We were unable to assess smoking, alcohol use and BMI at the end of the study as participants were included from multiple cohorts with different data endpoints. A selection bias might contribute to the relationship observed between alcohol use and clinical progression. It is possible that heavy alcohol users only sought clinical care when their clinical progression was more advanced compared to the other 2 groups. We are also unable to accurately attribute the impact of alcohol use on disease progression. Furthermore, no data was available on the level of alcohol or hazardous drinking. The LTNP group appear to be more highly educated and have higher income which could imply better socioeconomic status and may explain the higher BMI than the other groups. However, due to low numbers in the LTNP group we have omitted p-values and we are unable to draw conclusions on these variables. Furthermore, we acknowledge that the 3 groups are unbalanced in numbers making it difficult to draw direct conclusions.

The criteria used to identify our EC group is not as stringent as some other studies in terms of viral load and duration of follow-up due to the lack of availability of more sensitive HIV viral load assays at the time that this study was conducted.

Over the past few years there have been massive improvements in policy and access for initiation of ART. Moreover, LTNPs did not have as frequent VL assays early in their follow up. Although we defined LTNPs using a shorter follow-up duration compared to prior similar studies from Europe and USA, a large number of LTNPs had longer follow up than the study definitions required. The limited geographical region of the study sites reduces the generalizability of the study but a substantial number of these rare controller phenotypes were identified and included at a time of transition from initial low CD4 threshold-based ART initiation to universal test and treat. We have found it almost impossible to continue to identify and recruit adults with controller phenotypes as virtually all adults identified with HIV infection are almost immediately initiated on ART without a viral load being done before initiation.

This study was designed to highlight differences between the exceedingly rare two controller phenotypes (LTNPs and ECs) and those whose phenotype is by far the more usual. We primarily identified these groups to create a repository of specimens to study host immune and genetic markers associated with HIV-1 control [47,48]. It is notable that the majority of LTNP had VL stably  $>10\,000$  cells/mm<sup>3</sup> which may be a similar phenotype to the natural hosts of SIV where the continuous viral replication is not associated with immunopathology. CD4+ T cells in blood, lymph nodes and gut manifest no or little increase of cell-death by apoptosis [49]. This study was designed to highlight differences among the 3 groups and was not intended to be compared to novel studies that focused on genetic and viral host factors. It does however provide insight on behavioural and clinical characteristics that may contribute to HIV disease progression/stagnation and provides a platform to explore these factors with more advanced research techniques.

## Conclusion

BMI in the overweight and obese range could possibly be an indicator of HIV non-progression whereas alcohol consumption could be related to a faster CD4 decline in HIV-infected individuals. The underlying mechanisms of either are not clear but similar relationships have been shown in different populations. These findings highlight the importance of considering the effect of nutrition on the rate of progression of HIV especially in less affluent communities in rural regions of South Africa where ART is not always available.

## Declarations

- Ethics approval and consent to participate:

Ethical approval for all studies used in this analysis was granted by the Wits Human Research Ethics Committee. Written informed consent was obtained from each participant prior to initiating any screening procedures.

## Acknowledgement

The authors thank the PHRU staff involved in recruiting, enrolling and following up participants over all these years. A special thank you to Yudesh Baliram for data management and Kenneth Mashaba for assistance with data analysis. We also thank the study participants and their families without whom this research would not be possible.

Novel TB study: Supported by grants from the National Institute of Allergy and Infectious Diseases (AI048526 and AI01637), the National Institutes of Health Fogarty International Center (D43 TW000010- 21S1 and 5U2RTW007370), and the U.S. Agency for International Development (GHS-A-00-03-00019-00).

Adult wellness funded by PEPFAR: Patient care was funded by a US PEPFAR grant through USAID South Africa (674-A-00-08-00009-00).

Lung cohort study funded by: National Institutes of Health grant RO1HL090312 LTNP study was funded by: This work is based on the research supported by grants awards from the Strategic Health Innovation Partnerships (SHIP) Unit of the South African Medical Research Council, a grantee of the Bill & Melinda Gates Foundation, and the South African Research Chairs Initiative of the Department of Science and Technology and National Research Foundation of South Africa.

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